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09/653,827	09/01/2000	Glenn D. Rasmussen	240703-1110	6068

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GARDNER GROFF, P.C.  
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MARIETTA, GA 30067

EXAMINER

TO, BAOQUOC N

ART UNIT	PAPER NUMBER
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2172

DATE MAILED: 05/21/2003

7

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/653,827

Applicant(s)

RASMUSSEN, GLENN D.

Examiner

Baoquoc N To

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-44 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-44 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other:

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**DETAILED ACTION**

1. Claims 1-9, 11, 13, 22, 35, 40, 41 and 43-44 are amended on the amendment filed 02/24/03. Claims 1-44 are pending in this application.

***Response to Arguments***

2. Applicant's arguments with respect to claim 1, 9, 35, 36 and 43-44 have been considered but are moot in view of the new ground(s) of rejection.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1-8, 35 and 43-44 are rejected under 35 U.S.C. 103(a) as being unpatentable over Baisley et al. (US. Patent No. ~~5,550,971~~ <sup>6,292,932</sup>).

Regarding on claims 1, 35 and 43-44, Baisley teaches metadata model transformer for transforming a metadata model, the metadata model having a lower layer containing one or more lower degree of abstraction model objects having and a higher layer containing one or more higher abstraction model objects having a higher abstraction level, the transformer comprising:

a lower-to-higher transformation having:

means for obtaining information of a lower abstraction model object from the lower layer (col. 3, lines 25-27).

means for creating in the higher layer a higher abstraction model object corresponding to the lower abstraction model object (col. 8, line 64).

Although, Baisley does not explicitly teaches means for abstracting the information by adding business intelligence. However, Baisley teaches, "the present invention provides a set of rules that generates a MOF Model from any UML model" (col.

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3, lines 28-29). This teaches the usage of rules to transform model. Therefore, it would have been obvious to one ordinary skill in the art at the time of the invention was made to include a set of rule to generate a MOF Model from any UML model in order to provide a computer modeling system and method which allows the user to easily review the formulas and rules which are the basic for calculations of model values

Regarding on claim 2, Baisley teaches metadata model transformer comprising:  
a lower layer transformation having:  
means for obtaining information from model object in the lower layer (col. 3, lines 25-27);  
means for modifying the obtained information (col. 3, lines 25-30); and  
means for transforming the model object in a lower layer based on the modified information (col. 3, lines 28-29).

Regarding on claim 3, Baisley teaches metadata model transformer comprising:  
a lower layer transformation having:  
means for obtaining information from a model objects in the lower layer (col. 3, lines 25-27);  
means for determining a specific feature included in the obtained information (col. 3, line 36); and  
means for creating a new model object based in the lower layer on the specific feature (col. 3, lines 30-45).

Regarding on claim 4, Baisley teaches metadata model transformer comprising:  
a lower layer transformation having:  
means for obtaining relationship information between multiple model objects in  
the lower layer (col. 3, lines 25-27); and  
means for creating a new model object in the higher layer based on the  
relationship information (col. 3, lines 40-42).

Regarding on claim 5, Baisley teaches metadata model transformer as claimed in  
claim 1 further comprising:  
a higher layer transformation having:  
means for obtaining information of a model object in the higher layer (col. 3, lines  
25-27);  
means for modifying the obtained information (col. 3, lines 25-35); and  
Means for transforming the higher abstraction model object based on the  
modified information (col. 3, lines 5-15).

Regarding on claim 6, Baisley metadata model transformer as claimed in claim 1 further comprising:

a higher layer transformation having:

means for obtaining information of a higher abstraction model objects from the higher layer (col. 3, lines 25-27);

means for determining a specific feature included in the obtained information (col. 3, line 36); and

means for creating a new higher abstraction model object based on the specific feature (col. 8, lines 63-67).

Regarding on claim 7, Baisley teaches a metadata model transformer further comprising:

a higher layer transformation having:

means for obtaining relationship information between multiple higher abstraction model objects from the higher layer (col. 3, lines 5-22); and

means for creating a new higher abstraction model object based on the relationship information (col. 8, lines 63-67).

Regarding on claim 8, Baisley teaches metadata model transformer further comprising:

a higher layer transformation having:

means for selecting a subset of the higher abstraction model objects from the higher layer (col. 3, lines 5-22); and

means for creating a new higher abstraction model object based on the selected subset of the higher abstraction model objects (col. 8, lines 63-67)



3. Claims 9-21, 24-33 and 36-42 are rejected under 35 U.S.C. 103(a) as being unpatentable over Baisley et al. (US. Patent No. 6,292,932) in view of Fink (US. Patent No. 6,490,590).

Regarding on claims 9 and 36, Baisley teaches a metadata model transformer for transforming a metadata model that represents one or more data sources having physical data, the metadata model having a data, access layer containing data access model objects, a business layer containing business model objects, and a package layer containing package model objects, the transformation comprising:

one or more data access model transformations for refining description of the physical data in the data source expressed by the data access model objects (col. 3, lines 40-45);

one or more data access to business model transformations for constructing business model objects based on the data access model objects (col. 5, lines 25-27).

one or more business model transformations for refining the business rules expressed by the business model objects (col. 5, lines 35-41); and

one or more business to package model transformations for constructing package model objects based on the business model objects (col. 10, lines 15-20).

Although, Baisley does not explicitly teach the refining the business rules; however, Fink teaches, "SME refines the business rule metadata to reflect the client's business" (col. 8, lines 20-22). This teaches the refines business rule metadata. Therefore it would have been obvious to one ordinary skill in the art at the time of the

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invention was made to modify the teaching of Fink into Baisley because refining rule metadata would allow the creation of the object model in order to aid the business application.

Regarding on claims 10 and 37, Baisley teaches the data access model transformations refines the description by adding new data access model objects to data access model objects which are constructed via import from the data sources or one or more metadata sources (col. 4, lines 22-25).

Regarding on claims 11 and 38, Baisley teaches the business model transformations refine the business rules by changing the business model objects (col. 3, lines 35-40).

Regarding on claims 12 and 39, Baisley teaches the business model objects include business model objects which are constructed via import from one or more metadata sources (col. 3, lines 50-55).

Regarding on claims 13 and 40, Baisley teaches metadata model transformer further comprising:

one or more package model transformations for constructing a new package model object based on the package model objects in the model (col. 5, lines 25-30).

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Regarding on claims 14 and 41, Baisley teaches the package model objects include package model objects which are constructed via import from one or more metadata sources (col. 5, lines 50-55).

Regarding on claims 15 and 42, Baisley teaches metadata model transformer further comprising: a name mutation transformation for changing names of objects in the model based on user defined rules (col. 5, lines 45-50).

Regarding on claim 16, Baisley teaches the data access model transformations include a transformation which creates a new data access model object based on the data access model objects contained in the data access layer (col. 8, lines 24-37).

Regarding on claim 17, Baisley teaches the data sources contain tables having columns and indexes; the data access model objects include data access tables, data access columns and data access indexes which respectively describe information about the tables, columns and indexes in the data sources; and the data access model transformations include a data access join constructing transformation for constructing a data access join between data access tables based on the data access indexes (col. 4, lines 4, lines 50-65).

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Regarding on claim 18, Baisley teaches the data sources contain tables having columns and indexes; the data access model objects include data access tables, data access columns and data access indexes which respectively describe information about the tables, columns and indexes in the data sources; and the data access model transformations include a data access key constructing transformation for creating a data access key for a data access table based on the data access indexes (col. 9, lines 1-11).

Regarding on claim 19, Baisley teaches the data sources contain at least one of tables having columns and indexes, views having columns or files having columns or fields:

the data access model objects include at least one of data access tables, data access views, data access files, data access columns and data access indexes which respectively describe information about the tables, columns of the tables, indexes of the tables, the views, the columns of the views, the files, and the columns or fields of the files in the data sources; and the data access model transformations include a table extract constructing transformation for constructing a table extract based on the data access tables, the data access views and the data access files (col. 4, lines 5-21).

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Regarding on claim 20, Baisley teaches the data access model objects include one or more logical cube, each of which defines a multidimensional space represented in a number of physical storage formats (col. 3, lines 20-25); and

the data access model transformations include a data access cube constructing transformation for constructing data access cubes to instantiate the multidimensional space defined by each logical cube (col. 3, lines 25-30) .

Regarding on claim 21, Baisley teaches the data access to business model transformations include a basic business model constructing transformation which obtains information about a data access model object in the data access layer, and create a business model object corresponding to the data access model object (col. 3, lines 36-40).

Regarding on claim 24, Baisley teaches the business model objects include one or more redundant joins that express the transitivity of two or more other join relationships in the business layer (col. 4, lines 1-5); and

the business model transformations include a redundant join relationship eliminating transformation for locating the redundant joins, and eliminating the redundant joins from the business layer (col. 4, lines 10-20).

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Regarding on claim 25, Baisley teaches the business model transformations include a subclass relationship introducing transformation for introducing a new entity with a subclass relationship into the business layer (col. 4, lines 37-45).

Regarding on claim 26, Baisley teaches the business model objects include an entity acting as a lookup table with respect to the other entity, and a business join between the entities, the business join is an associate type; and the business model transformations include an entity referencing transformation for locating the entity acting as a lookup table, and changing the business join which is an association type to a business join which is a reference type (col. 4, lines 1-4).

Regarding on claim 27, teaches the business model transformations include an attribute usage determining transformation for determines the usage of an attribute based on how it is used by other business model objects (col. 4, lines 50-64).

Regarding on claim 28, teaches the business model transformations include a date usage identifying transformation for examining attributes to determine where dates are used in the attributes (col. 9, lines 39-51).

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Regarding on claim 29, Baisley teaches the business to package model transformations include a basic package model constructing transformation for constructing a package layer by forming a package with package model objects which corresponds to a subset of the business model objects (col. 3, lines 35-40).

Regarding on claim 30, Baisley teaches the package model transformations include a special package construction transformation for constructing a specific package which is usable by a specific client application from a generic package (col. 3, lines 25-27).

Regarding on claim 31, Baisley teaches one or more multidimensional model transformations for a multidimensional model (col. 3, lines 25-30).

Regarding on claim 32, Baisley teaches the multidimensional model transformations include a measure identifying and measure dimension constructing transformation for analyzing the structure of each data source to identify entities that contain measure candidates and identifying a reasonable set of measures (col. 3, lines 25-30).

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Regarding on claim 33, Baisley teaches the multidimensional model transformations include a category dimension and level constructing transformation for analyzing each data source, and constructing dimensions and levels for the source model (col. 3, lines 5-22).

Regarding on claim 34, Baisley teaches the multidimensional model transformations include a logical cube constructing transformation for constructing a set of logical cubes based on the dimensions in a corresponding data source (col. 9, lines 39-51).



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4. Claims 22 and 23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Baisley et al. (US. Patent No. 6,292,932) in view of Fink (US. Patent No. 6,490,590) and further in view of Henninger et al. (US. Patent NO. 5,499,371).

Regarding on claim 22, Both Baisley and Fink do not explicitly teach the business model objects include entities that exist as an implementation artifact of a many to many relationship, and many to many business joins associated with the entities; and the business model transformations include a many to many join relationship fixing transformation for locating the entities, and replacing the associated many to many business joins with a single business join. However, Henninger, teaches, "for each many-to-many relationship in the object model, a separate join table is added to the data base schema" [col. 8, lines 51-53]. Therefore, it would have been obvious to one ordinary skill in the art at the time of the invention was made to modify Henninger into Pink and Baisley in order to provide for using an object model of an object-oriented application to automatically map information between an object-oriented application and a structured database, such as relational database.

Regarding on claim 23, Henninger teaches the business model objects include entities that are related via a 1:1 join relationship (col. 8, lines 48-51); and

the business model transformations include an entity coalescing transformation for locating the entities that are related via a 1:1 join relationship, and coalescing the located entities into a single entity (col. 8, lines 48-51).

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**Conclusion**

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Baoquoc N. To whose telephone number is (703) 305-1949 or via e-mail: BaoquocN.To@uspto.gov. The examiner can normally be reached on Monday-Friday: 8:00 AM – 4:30 PM, EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Y. Vu can be reached at (703) 305-4393.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.

Any response to this action should be mailed to:  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231.

The fax numbers for the organization where this application or proceeding is assigned are as follow:

- (703) 746-7238 [After Final Communication]
- (703) 746-7239 [Official Communication]
- (703) 746-7240 [Non-Official Communication]

Hand-delivered responses should be brought to:

Crystal Park II  
2121 Crystal Drive  
Arlington, VA 22202  
Fourth Floor (Receptionist).

  
JEAN M. CORRIELUS  
PRIMARY EXAMINER

Baoquoc N. To

May 15, 2003